

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	DON WHITEHEAD, ET AL.)	
)	
SERIAL NUMBER:	09/431,737)	
)	
FILED:	November 1, 1999)	ART UNIT
)	2121
)	
FOR:	MAN MACHINE INTERFACE)	EXAMINER
	FOR POWER MANAGEMENT)	THOMAS K. PHAM
	CONTROL SYSTEMS)	

INFORMATION DISCLOSURE STATEMENT (IDS)UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

In compliance with the duty to disclose, Applicants submit herewith a copy of documents generated during the course of litigation involving Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company, Case Number CV02-0058, in the United States District Court Western District of Washington at Seattle; and General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd., Case Number 302-CV-78AWT, in the United States District Court District of Connecticut, which are listed on attached form PTO-1449.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR §1.56.

Since this Information Disclosure Statement is being filed outside of the period provided for in 37 CFR §1.97(c), but before payment of the Issue Fee, the submission is

09/431,737

being accompanied by an RCE under 37 CFR §1.114.

With regard to the accompanying IDS material, and with particular regard to the Judgment On Consent, Power Measurement, Inc. ("PMI") and Power Measurement Ltd. ("PML") vs. General Electric Company ("GE"), Case Number 3:02-CV-771 (AWT), in the United States District Court District of Connecticut; and Judgment On Consent, General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd., Case Number 3:02-CV-78 (AWT), in the United States District Court District of Connecticut, listed on attached form PTO-1449, Applicants particularly note that PMI/PML asserted allegations of incorrect patent inventorship and patent invalidity that were denied by GE, whereafter PMI/PML agreed by settlement absent a court order to waive any right to contest patent inventorship and patent validity.

If there are any charges with respect to this Information Disclosure Statement or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicants' attorneys.

Respectfully submitted,

CANTOR COLBURN LLP
Applicants' Attorneys

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Date: June 16, 2003

Form PTO-1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 41PR-7349/96-1165A	SERIAL NO. 09/431,737
Information Disclosure Statement by Applicant <i>(Use several sheets if necessary)</i>				APPLICANT Don Whitehead et al.	
(37 cfr 1.98(b))				FILING DATE November 1, 1999	GROUP 2121

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,491,793	01/01/85	Germer et al.	324	157	09/21/83	
	4,598,248	07/01/86	Germer	324	103	04/12/84	
	4,620,150	10/28/86	Germer et al.	324	103	04/12/84	
	4,754,217	06/28/88	Germer et al.	324	116	03/05/87	
	5,317,546	05/31/94	Balch et al.	368	9	06/30/93	
	5,696,501	12/09/97	Ouellette et al.	340	870.02	09/09/96	
	5,764,155	06/09/98	Kertesz et al.	340	825.08	04/03/96	
	5,768,148	06/16/98	Murphy et al.	364	492	04/03/96	
	5,861,742	01/19/99	Miller et al.	324	156	11/30/95	
	5,862,391	01/19/99	Salas et al.	395	750.01	04/03/96	
	5,933,092	08/03/99	Ouellette et al.	340	870.02	03/26/97	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION					
DOCUMENT NUMBER	PUBLICATION DATE*	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 (6-4))

* Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** "The place or publication refers to the name of the journal, magazine or other publication in which the article was published..." Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)					
			COMPLAINT AND JURY DEMAND; CV-02-0058R; Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 28 Pgs.; January 7, 2002.		
			ANSWER, AFFIRMATIVE DEFENSES, AND COUNTERCLAIMS OF GENERAL ELECTRIC COMPANY JURY DEMAND; CV-02-0058R; Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 17 Pgs.; March 7, 2002.		
			STIPULATION; CV-02-0058R; Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 2 Pgs.; March 28, 2002.		
			FIRST AMENDED COMPLAINT AND JURY DEMAND; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 28 Pgs.; June 10, 2002.		
			GENERAL ELECTRIC'S ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS TO PLAINTIFFS' FIRST AMENDED COMPLAINT; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 18 Pgs.; July 3, 2002.		
			STIPULATION FOR DISMISSAL WITH PREJUDICE; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 5 Pgs.; August 12, 2002.		
			JUDGEMENT ON CONSENT; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 5 Pgs.; August 12, 2002.		
			COMPLAINT; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 25 Pgs.; January 14, 2002.		
			ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 30 Pgs.; April 2, 2002.		
			GENERAL ELECTRIC'S ANSWER AND AFFIRMATIVE DEFENSES TO DEFENDANTS' COUNTERCLAIMS; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 10 Pgs.; April 22, 2003.		
			JUDGEMENT ON CONSENT; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 4 Pgs.; August 7, 2002.		
			STIPULATION FOR DISMISSAL WITH PREJUDICE; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 3 Pgs.; August 12, 2002.		
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Page 3 of 3

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		FILING DATE November 1, 1999		GROUP 2121	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)					
			"PEGASYS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994.		
			"PEGASYS Preliminary Installation and Configuration Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-31, October 1, 1994.		
			"PEGASYS User's Guide", Version 1.1 for Windows NT, Power Measurement Ltd., March 31, 1995.		
			"PowerSys User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995.		
			"PowerSys Add-In Module User's Guide", v. 1.00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-55, January 31, 1995.		
			Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991.		
			"Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994.		
			"Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994.		
			"PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998.		
			"PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998.		
			"Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991.		
			"EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994.		
			"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994.		
			"EPE5 Ethernet Port Expander", Niobrara Research and Development Corporation, March 23, 1994.		
			"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995.		
			"MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995.		
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